

Applicant:

Andrew A. WOLFF

Title:

Sustained Release Ranolazine

**Formulations** 

Appl. No.:

10/614,460

Patent No.

6,864,258

Filing Date:

07/07/2003

Issue Date:

03/08/2005

Confirmation Number:

CERTIFICATE OF EXPRESS MAILING

hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

EV861929913US

October 29, 2007

(Express Mail Label Number)

(Date of Deposit)

Antonio Nevarez (Printed Name)

PETITION FOR CORRECTION OF INVENTORSHIP OF PATENT UNDER 37 C.F.R. § 1.324

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is a petition for correction of error in a nonjoinder of inventors in the above issued patent. The petition is being filed pursuant to 37 C.F.R. § 1.324. It is respectfully requested that the Office issue a certificate correcting the error.

The above-listed patent currently lists Andrew A. WOLFF as the sole inventor. Patentee submits that John Richard LANGRIDGE and Fiona BAKER should also be named, in addition to Andrew A. Wolff, as inventors of the above-listed patent. This petition requests that the Office correct the inventorship of the patent.

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Pursuant to 37 C.F.R. § 1.324(b), enclosed herewith are the following documents:

- 1. A Statement from each person who is being added as an inventor (John Richard LANGRIDGE and Fiona BAKER) that the inventorship error occurred without any deceptive intention on his or her part.
- 2. A Statement from the current named inventor (Andrew A. WOLFF) stating that there is no disagreement in regard to the requested change.
- 3. Written consent of the assignee (Roche Palo Alto LLC).
- 4. Statement under 37 C.F.R. § 3.73(b), establishing right of assignee to take action.
- 5. The fee required under 37 C.F.R. § 1.20(b). Authorization is hereby made to charge the amount of \$130.00 to credit card as shown on the attached credit card information authorization form PTO-2038.

Also included are the following documents:

- 6. A Certificate of Correction requesting the addition of John Richard LANGRIDGE and Fiona BAKER as inventors of the above-listed patent.
- 7. Power of Attorney and Correspondence Address Indication Form.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date OCTOBER 30, 2007

FOLEY & LARDNER LLP Customer Number: 38706

Telephone: (650) 25

(650) 251-1104

Facsimile:

(650) 856-3710

By Soina Solanus

Lorna L. Tanner Attorney for Patentee Registration No. 50,782



Andrew A. WOLFF

Title:

SUSTAINED RELEASE

RANOLAZINE FORMULATIONS

Appl. No.:

10/614,460

Patent No.:

6,864,258

Issue Date:

03/08/2005

Filing Date:

07/07/2003

Confirmation

7581

Number:

### STATEMENT OF NON-DECEPTIVE INTENT BY PERSON BEING ADDED BY PETITION TO CHANGE INVENTORSHIP IN PATENT PURSUANT TO 37 C.F.R. § 1.324(B)(1)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I, John Richard Langridge, the person who is being added as inventor by the petition being submitted to correct the inventorship of this patent do hereby declare that the inventorship error in failing to include my name as an inventor on this patent occurred without any deceptive intention on my part.



Applicant:

Andrew A. WOLFF

Title:

SUSTAINED RELEASE

RANOLAZINE FORMULATIONS

Appl. No.:

10/614,460

Patent No.:

6,864,258

Issue Date:

03/08/2005

Filing Date:

07/07/2003

Confirmation

7581

Number:

# STATEMENT OF NON-DECEPTIVE INTENT BY PERSON BEING ADDED BY PETITION TO CHANGE INVENTORSHIP IN PATENT PURSUANT TO 37 C.F.R. § 1.324(B)(1)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I, Fiona Baker, the person who is being added as inventor by the petition being submitted to correct the inventorship of this patent do hereby declare that the inventorship error in failing to include my name as an inventor on this patent occurred without any deceptive intention on my part.

Fiona Baker

7 301

Date



Applicant:

Andrew A. WOLFF

Title:

SUSTAINED RELEASE

RANOLAZINE FORMULATIONS

Appl. No.:

10/614,460

Patent No.

6,864,258

Issue Date:

03/08/2005

Filing Date:

07/07/2003

Confirmation

7581

Number:

# STATEMENT AGREEING TO CHANGE OF INVENTORSHIP IN PATENT BY CORRECTLY NAMED INVENTOR PURSUANT TO 37 C.F.R. § 1.324(B)(2)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I, Andrew A. Wolff, an inventor signing below and who is being retained as an inventor in the above-identified patent, make the following statement in support of the petition to correct the inventorship to name John Richard Langridge and Fiona Baker as inventors of this patent. I have no disagreement in regard to the requested change of inventorship of this patent.

Andrew A. Wolff

10 00

Date



Applicant:

Andrew A. WOLFF

Title:

Sustained Release Ranolazine

Formulations

Appl. No.:

10/614,460

Patent No.

6,864,258

Filing Date:

07/07/2003

Issue Date:

03/08/2005

Confirmation

7581

Number:

# CONSENT OF ASSIGNEE TO CHANGE OF INVENTORSHIP IN PATENT PURSUANT TO 37 C.F.R. § 1.324(B)(3)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Roche Palo Alto LLC, owner by assignment of the above-identified patent, said assignment being recorded concurrently herewith, hereby consents to the amendment of the inventorship of this patent as requested in the accompanying papers. Attached herewith is a "Statement under 37 C.F.R. 3.73(b)," establishing the right of the assignee to take action in this case.

Kevin A. Marks

Vice President & General Counsel

Roche Palo Alto LLC

Date

PTO/SB/96 (06-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### **STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner:	Andrew A. WOLFF		
Application No.:	10/614,460	Filed:	07/07/2003′
Patent No.:	6,864,258	Issue Date:	03/08/2005
Docket Number:	045710-0139		
Entitled:	Sustained Release Ranol	lazine Formulations	
Roche Palo Alto LLC		Corporation	,
(Name of Assignee)		(Type of Assignee, government agency	e.g., corporation, partnership, university,
states that it is:		g,	,,
1. 🛛 the assignee of t	he entire right, title, and interest;	or	
2.  an assigne The extent (by percentage) of	ee of less than the entire right, titl f its ownership interest is %	le, and interest	·
in the patent application/patent	identified above by virtue of eith	er:	
	inventor(s) of the patent applicat d Trademark Office at Reel/Frame		assignment was recorded in the attached.
OR			
B. A chain of title from the i	nventor(s), of the patent applicat	tion/patent identified above, to the	ne current assignee as shown belov
From: Andrew A. We for which a copy the	<del></del>	ntex (U.S.A.) Inc.	
The document was	Langridge and Fiona Baker recorded in the United States Pate 0816 and Reel 013114, Frame		<u>.</u>
3. From: Syntex (U.S./ for which a copy the	<del></del>	oche Palo Alto LLC	
☐ Additional documer	nts in the chain of title are listed o	on a supplemental sheet.	
[NOTE: A separate copy (i.e	other documents in the chain of ti e., a true copy of the original doc art 3, if the assignment is to be re	cument(s)) must be submitted to	o Assignment Division in PTO. <u>See</u> MPEP 302.08]
The undersigned (whose title is	s supplied below) is authorized to	o act on behalf of the assignee.	
	7/	<u> </u>	, ,
J Gr		2/01	3/07
Kevii	n A. Marks		/ / Date
Vice President	t & General Counsel	Telephone	no. (650) 855-5050

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### **ASSIGNMENT - WORLDWIDE**

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned inventor, Andrew A. Wolff, (hereinafter referred to as "ASSIGNOR") has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto

Syntex (U.S.A.) Inc. 3431 Hillview Avenue Palo Alto, CA 94304

(hereinafter referred to as "ASSIGNEE") its successors and assigns, the full and exclusive right, title and interest for the United States, its territories and possessions, and all foreign countries in and to this invention relating to the Letters Patents listed below:

U.S. PATENT NO.	GRANT DATE	TITLE
6,303,607	Oct. 16, 2001	Method for Administering a Sustained Release Ranolazine Formulation
6,479,496	Nov. 12, 2002	Methods for Treating Angina with Ranolazine
6,562,826	May 13, 2003	Sustained Release Ranolazine Formulations
6,852,724	Feb. 8, 2005	Sustained Release Ranolazine Formulations
6,369,062	Apr. 9, 2002	Sustained Release Ranolazine Formulations
6,503,911	Jan. 7, 2003	Sustained Release Ranolazine Formulations
6,617,328	Sep. 9, 2003	Sustained Release Ranolazine Formulations
6,525,057	Feb. 25, 2003	Sustained Release Ranolazine Formulations
6,620,814	Sep. 16, 2003	Sustained Release Ranolazine Formulations
6,864,258	Mar. 8, 2005	Sustained Release Ranolazine Formulations

as well as in and to (a) all improvements and modifications of the above-identified invention or inventions, (b) the above-identified Letters Patents and all other applications for Letters Patent of the United States and countries foreign thereto for above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all priority applications, divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the International Convention and any other international agreement to which the United States adheres; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

ASSIGNOR HEREBY AUTHORIZES ASSIGNEE to file patent applications in any or all countries on the above-identified invention or inventions in the name of the undersigned or in the name of ASSIGNEE or otherwise as ASSIGNEE may deem advisable under the International Convention or otherwise.

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representative or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, and declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein.

ASSIGNOR acknowledges that ASSIGNOR had previously assigned his rights in the above-listed Letters Patents to CV Therapeutics, Inc. but has been informed by CV Therapeutics, Inc.'s counsel that such assignments were inconsistent with ASSIGNOR's prior obligations to ASSIGNEE.

ASSIGNOR HEREBY GRANTS to the law firm of Foley & Lardner LLP the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

ASSIGNOR UNDERSTANDS AND AGREES that the attorneys and agents of the law firm of Foley & Lardner LLP do not personally represent ASSIGNOR OR ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

Executed th	nis 10th day of Oct	her , 20.07	
			w G. Miff
			ANDREW A WOLFF
State of	) )ss.		VV.
County of	)		•
On this	davof	20 hefore me a notar	py public in and for said county, appeared
		me to be the same person wh	nose name is subscribed to the foregoing instrument, and nis/her free and voluntary act for the uses and purposes
			Notary Public
			My Commission Expires:
(Seal)	•		My Commission Expires:
		e See cut	
	Loose A	U-Pur Pose	E ACKnowledgment
	Form.		

### **CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

	<u> </u>
State of California	<b>)</b>
County of SAN MATEO	
On 10/10/07 before m	Name and Title of Officer (e.g., "Jane Doe, Notary Public")  Name 1 Stanson of Stanson
personally appeared ANDREW A. C	WOLFF
	Hame(s) or Digner(s)
KERRY PRESCOTT Commission # 1762295 Notary Public - California San Mateo County My Comm. Bates Sap 14, 2011	to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), of the entity upon behalf of which the person(s) acted, executed the instrument.
	WITNESS my hand and official seal.  Lead of Signature of Notary Public
•	OPTIONAL ————————————————————————————————————
fraudulent removal and reat	tachment of this form to another document.
Description of Attached Document	
Title or Type of Document:	<u> </u>
Document Date:	Number of Pages:
Signer(s) Other Than Named Above:	
Capacity(ies) Claimed by Signer	·
Signer's Name:	RIGHT THUMBPRIN
	OF SIGNER Top of thumb here
<ul><li>☐ Individual</li><li>☐ Corporate Officer — Title(s):</li></ul>	
☐ Partner — ☐ Limited ☐ General	
☐ Attorney-in-Fact	
☐ Trustee	
Guardian or Conservator	
I   Other:	
□ Other:Signer Is Representing:	

#### PATENT ASSIGNMENT

WHEREAS Syntex (U.S.A.) Inc., of 3431 Hillview Avenue, Palo Alto, CA 94304, (hereinafter "Assignor") is the owner by virtue of assignment by John Langridge and Fiona Baker (named inventors), of the following United States Letters Patents, and the inventions set forth therein, as shown by the records of the United States Patent and Trademark Office:

U.S. PATENT NO.	GRANT DATE	TITLE
6,369,062	Apr. 9, 2002	Sustained Release Ranolazine Formulations
6,503,911	Jan. 7, 2003	Sustained Release Ranolazine Formulations
6,617,328	Sep. 9, 2003	Sustained Release Ranolazine Formulations
6,620,814	Sep. 16, 2003	Sustained Release Ranolazine Formulations

WHEREAS Assignor is also the owner by virtue of assignment from Andrew A. Wolff, (a named inventor), of the following United States Letters Patents, and the inventions set forth therein:

U.S. PATENT NO.	GRANT DATE	TITLE
6,303,607	Oct. 16, 2001	Method for Administering a Sustained Release
		Ranolazine Formulation
6,479,496	Nov. 12, 2002	Methods for Treating Angina with Ranolazine
6,562,826	May 13, 2003	Sustained Release Ranolazine Formulations
6,852,724	Feb. 8, 2005	Sustained Release Ranolazine Formulations
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6,503,911	Jan. 7, 2003	Sustained Release Ranolazine Formulations
6,617,328	Sep. 9, 2003	Sustained Release Ranolazine Formulations
6,525,057	Feb. 25, 2003	Sustained Release Ranolazine Formulations
6,620,814	Sep. 16, 2003	Sustained Release Ranolazine Formulations
6,864,258	Mar. 8, 2005	Sustained Release Ranolazine Formulations

WHEREAS Roche Palo Alto LLC, 3431 Hillview Avenue, Palo Alto, CA 94304, (hereinafter referred to singly and collectively as "Assignee") is desirous of acquiring the full right, title and interest in and to said inventions for the United States of America, and in and to said United States Letters Patents;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged by Assignor, Assignor has sold, assigned, transferred and set over, and by these presents hereby sells, assigns, transfers and sets over to Assignee the entire and exclusive right, title and interest in and to said inventions for the United States of America, and in and to said Letters Patents listed above, including any and all priority applications, divisional, continuation, continuation-in-part, reissues or extensions thereof, to be held and enjoyed by Assignee for its own use as fully and entirely as the same would have been held and enjoyed by Assignor had this assignment not been made; the Commissioner of Patents and Trademarks of the United States of America is hereby authorized to transfer the portion of the title indicated to said application to said Assignee in accordance herewith; this assignment being under covenant, not only that full power to make the same is had by the Assignor, but

also that such assigned rights are not encumbered by any grant, license, or other right theretofore given except as provided for in a license agreement between Assignee, the licensor, and CV Therapeutics, Inc., the licensee that relates to ranolazine; Assignor hereby undertakes to execute and deliver to Assignee upon request all lawful documents which may be requested by Assignee, and to furnish Assignee with all facts relating to said invention as may be requested.

The undersigned hereby grant the firm of FOLEY & LARDNER LLP, the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

Date:

Name: Kevin A. Marks, Esq.

Title: Vice President and General Counsel

State of California

County of Santa Clara

On this 23rd day of October, 2007, before me, a notary public in and for said county, appeared the above named person, who is personally known to me to be the same person whose name is subscribed to the foregoing instrument, and he/she acknowledged that he/she signed, sealed, and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.



Notary Public

My Commission Expires:  $\sqrt{2/03/2007}$ 

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

(Also Form PTO-1050)

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.

6,864,258

APPLICATION NO.

10/614,460

DATED

3/8/2005

INVENTOR(S)

Andrew A. WOLFF; Fiona BAKER; John Richard LANGRIDGE

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

#### Title Page

Item [76] Inventors, add. "Fiona Baker, Dunfermline, John Richard Langridge, Sint Oedenrode, both of (GB)"

MAILING ADDRESS OF SENDER (Please do not use customer number below):

1530 Page Mill Road Palo Alto, California 94304-1125

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer,

U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.